



empowerment series



essential research methods for social work

FOURTH EDITION

Allen Rubin ■ Earl Babbie

Council on Social Work Education Educational Policy and Accreditation Standards by Chapter



Essential Research Methods for Social Work, Fourth Edition now includes explicit references to the Educational Policy and Accreditation Standards' (EPAS) ten core competencies and 41 recommended practice behaviors. The column on the right informs the reader in which chapters the icons appear.

The 10 Competencies and 41 Recommended Practice Behaviors (EPAS 2008):	Chapter(s) Where Referenced:
2.1.1 Identify as a professional social worker and conduct oneself accordingly	
<ul style="list-style-type: none"> a. Advocate for client access to the services of social work b. Practice personal reflection and self-correction to assure continual professional development c. Attend to professional roles and boundaries d. Demonstrate professional demeanor in behavior, appearance, and communication e. Engage in career-long learning f. Use supervision and consultation 	2
2.1.2 Apply social work ethical principles to guide professional practice	
<ul style="list-style-type: none"> a. Recognize and manage personal values in a way that allows professional values to guide practice b. Make ethical decisions by applying standards of the National Association of Social Workers Code of Ethics and, as applicable, of the International Federation of Social Workers/International Association of Schools of Social Work Ethics in Social Work, Statement of Principles c. Tolerate ambiguity in resolving ethical conflicts d. Apply strategies of ethical reasoning to arrive at principled decisions 	1, 4, and 5
2.1.3 Apply critical thinking to inform and communicate professional judgments	1 and 2
<ul style="list-style-type: none"> a. Distinguish, appraise, and integrate multiple sources of knowledge, including research-based knowledge and practice wisdom b. Analyze models of assessment, prevention, intervention, and evaluation c. Demonstrate effective oral and written communication in working with individuals, families, groups, organizations, communities, and colleagues 	1, 2, 3, 4, and 7 3 and 4 9
2.1.4 Engage diversity and difference in practice	
<ul style="list-style-type: none"> a. Recognize the extent to which a culture's structures and values may oppress, marginalize, alienate, or create or enhance privilege and power b. Gain sufficient self-awareness to eliminate the influence of personal biases and values in working with diverse groups c. Recognize and communicate their understanding of the importance of difference in shaping life experiences d. View themselves as learners and engage those with whom they work as informants 	4 and 5 6

Essential Research Methods for **Social Work**



Cengage Learning Empowerment Series

Fourth Edition

ALLEN RUBIN

University of Houston

EARL BABBIE

Chapman University



Australia • Brazil • Mexico • Singapore • United Kingdom • United States

**Cengage Learning Empowerment Series:
Essential Research Methods for
Social Work, Fourth Edition**
Allen Rubin and Earl Babbie

Product Director: Jon-David Hague

Product Manager: Gordon Lee

Content Developer: Christopher Santos

Product Assistant: Stephen Lagos

Media Developer: John Chell

Marketing Manager: Jennifer Ievanduski

Art and Cover Direction, Production
Management, and Composition: Lumina
Datamatics, Inc.

Manufacturing Planner: Judy Inouye

Cover Image: Stephanie Horrocks/E+/
Getty Images

© 2016, 2013 Cengage Learning

WCN: 01-100-101

ALL RIGHTS RESERVED. No part of this work covered by the copyright herein may be reproduced, transmitted, stored, or used in any form or by any means graphic, electronic, or mechanical, including but not limited to photocopying, recording, scanning, digitizing, taping, Web distribution, information networks, or information storage and retrieval systems, except as permitted under Section 107 or 108 of the 1976 United States Copyright Act, without the prior written permission of the publisher.

For product information and technology assistance, contact us at
Cengage Learning Customer & Sales Support, 1-800-354-9706.

For permission to use material from this text or product,
submit all requests online at **www.cengage.com/permissions.**

Further permissions questions can be e-mailed to
permissionrequest@cengage.com.

Library of Congress Control Number: 2014949462

ISBN: 978-1-305-10168-5

Cengage Learning

20 Channel Center Street
Boston, MA 02210
USA

Cengage Learning is a leading provider of customized learning solutions with office locations around the globe, including Singapore, the United Kingdom, Australia, Mexico, Brazil, and Japan. Locate your local office at **www.cengage.com/global.**

Cengage Learning products are represented in Canada by
Nelson Education, Ltd.

To learn more about Cengage Learning Solutions,
visit **www.cengage.com.**

Purchase any of our products at your local college store or at our
preferred online store **www.cengagebrain.com.**

To our wives, Christina Rubin and Suzanne Babbie

Contents

Preface	xiii	2.2 Critical Thinking in Evidence-Based Practice	24
PART 1		2.3 Evidence-Based Practice Implies Career-Long Learning	25
An Introduction to Scientific Inquiry in Social Work.....	1	2.4 Flexibility in Evidence-Based Practice	25
CHAPTER 1		2.5 Steps in the Evidence-Based Practice Process	26
Why Study Research?.....	3	2.5a Step 1. Formulate a Question to Answer Practice Needs	26
1.1 Introduction	4	2.5b Step 2. Search for the Evidence	28
1.2 How Do Social Workers Know Things?	6	2.5c Step 3. Critically Appraise the Relevant Studies You Find	31
1.3 The Scientific Method	7	2.5d Step 4. Determine Which Evidence-Based Intervention Is Most Appropriate for Your Particular Client(s)	32
1.4 Other Ways of Knowing	9	2.5e Step 5. Apply the Evidence-Based Intervention	33
1.4a Personal Experience	9	2.5f Step 6. Evaluation and Feedback	34
1.4b Tradition	9	2.6 Distinguishing the Evidence-Based Practice Process from Evidence-Based Practices	34
1.4c Authority	10	2.7 Problems in and Objections to Evidence-Based Practice	35
1.4d Common Sense	10	2.8 Alleviating Feasibility Obstacles to Evidence-Based Practice	37
1.4e Popular Media	11	2.9 Common Factors and the Dodo Bird	37
1.5 Recognizing Flaws in Unscientific Sources of Social Work Practice Knowledge	12	2.10 Main Points	38
1.5a Inaccurate Observation	12	2.11 Practice-Related Exercises	39
1.5b Overgeneralization	12	2.12 Internet Exercises	40
1.5c Selective Observation	13	2.13 Additional Readings	40
1.5d Ex Post Facto Hypothesizing	14	2.13a Competency Notes	40
1.5e Ego Involvement in Understanding	14	PART 2	
1.5f Other Forms of Illogical Reasoning	15	The Research Process.....	43
1.5g The Premature Closure of Inquiry	15	CHAPTER 3	
1.5h Pseudoscience	16	Quantitative, Qualitative, and Mixed Methods of Inquiry	45
1.6 Main Points	18	3.1 Introduction	46
1.7 Practice-Related Exercises	19		
1.8 Internet Exercises	20		
1.9 Additional Readings	20		
1.9a Competency Notes	21		
CHAPTER 2			
Evidence-Based Practice	23		
2.1 Introduction	24		

- 3.2 A Comparison of Quantitative and Qualitative Methods of Inquiry 46
- 3.3 Mixed Methods 49
 - 3.3a Types of Mixed Methods Designs 50
 - 3.3b Reasons for Using Mixed Methods 54
- 3.4 Phases in the Research Process in Quantitative, Qualitative, and Mixed Methods Studies 55
- 3.5 Main Points 57
- 3.6 Practice-Related Exercises 57
- 3.7 Internet Exercises 58
- 3.8 Additional Readings 58
 - 3.8a Competency Notes 58

CHAPTER 4

Factors Influencing the Research Process..... 59

- 4.1 Introduction 60
- 4.2 Research Purposes in Qualitative and Quantitative Studies 60
 - 4.2a Exploration 60
 - 4.2b Description 61
 - 4.2c Explanation 61
 - 4.2d Evaluation 62
 - 4.2e Constructing Measurement Instruments 62
 - 4.2f Multiple Purposes 63
 - 4.2g Explaining and Predicting 64
- 4.3 The Time Dimension 64
 - 4.3a Cross-Sectional Studies 64
 - 4.3b Longitudinal Studies 65
- 4.4 The Influence of Paradigms 66
- 4.5 The Influence of Theories 68
 - 4.5a Inductive and Deductive Uses of Theory 70
- 4.6 Social Work Practice Models 71
- 4.7 The Influence of Ethical Considerations 72
- 4.8 The Influence of Multicultural Factors 73
- 4.9 The Influence of Organizational and Political Concerns 74
- 4.10 Main Points 74
- 4.11 Practice-Related Exercises 75
- 4.12 Additional Reading 75
 - 4.12a Competency Notes 75

PART 3

Ethical and Cultural Issues in Social Work Research..... 77

CHAPTER 5

Ethical Issues in Social Work Research..... 79

- 5.1 Introduction 80
- 5.2 Ethical Guidelines in Social Work Research 80
 - 5.2a NASW Code of Ethics 80
 - 5.2b Voluntary Participation and Informed Consent 81
 - 5.2c No Harm to the Participants 84
 - 5.2d Anonymity and Confidentiality 85
 - 5.2e Deceiving Participants 85
 - 5.2f Analysis and Reporting 86
- 5.3 Weighing Benefits and Costs 87
 - 5.3a An Illustration: Living with the Dying—Use of Participant Observation 87
 - 5.3b Right to Receive Services Versus Responsibility to Evaluate Service Effectiveness 88
- 5.4 Three Ethical Controversies 89
 - 5.4a Observing Human Obedience 89
 - 5.4b Trouble in the Tearoom 90
 - 5.4c Social Worker Submits Bogus Article to Test Journal Bias 91
- 5.5 Institutional Review Boards 92
- 5.6 Bias and Insensitivity Regarding Gender and Culture 93
- 5.7 Politics and Values 95
 - 5.7a Social Research and Race 96
- 5.8 Main Points 98
- 5.9 Practice-Related Exercises 98
- 5.10 Internet Exercises 99
- 5.11 Additional Readings 99
 - 5.11a Competency Notes 100

CHAPTER 6

Culturally Competent Research 101

- 6.1 Introduction 102
- 6.2 Recruiting and Retaining the Participation of Minority and Oppressed Populations in Research Studies 102
 - 6.2a Obtain Endorsement from Community Leaders 103
 - 6.2b Use Culturally Sensitive Approaches Regarding Confidentiality 103
 - 6.2c Employ Local Community Members as Research Staff 103
 - 6.2d Provide Adequate Compensation 104

- 6.2e Alleviate Transportation and Child Care Barriers 104
- 6.2f Choose a Sensitive and Accessible Setting 104
- 6.2g Use and Train Culturally Competent Interviewers 105
- 6.2h Use Bilingual Staff 105
- 6.2i Understand Cultural Factors Influencing Participation 106
- 6.2j Use Anonymous Enrollment with Stigmatized Populations 106
- 6.2k Use Special Sampling Techniques 107
- 6.2l Learn Where to Look 107
- 6.2m Connect with and Nurture Referral Sources 107
- 6.2n Use Frequent and Individualized Contacts and Personal Touches 107
- 6.2o Use Anchor Points 108
- 6.2p Use Tracking Methods 108
- 6.3 Culturally Competent Problem Formulation 109**
- 6.4 Culturally Competent Data Analysis and Reporting 110**
- 6.5 Acculturation 111**
- 6.6 Culturally Competent Measurement 111**
 - 6.6a Language Problems 111
 - 6.6b Cultural Bias 112
 - 6.6c Measurement Equivalence 112
 - 6.6d Assessing Measurement Equivalence 113
- 6.7 Main Points 114**
- 6.8 Practice-Related Exercises 115**
- 6.9 Internet Exercises 115**
- 6.10 Additional Readings 115**
 - 6.10a Competency Note 116

PART 4
Problem Formulation and Measurement 117

CHAPTER 7
Problem Formulation 119

- 7.1 Introduction 120
- 7.2 Selecting a Topic 120
- 7.3 Literature Review 120
- 7.4 Selecting a Research Question 121
 - 7.4a Feasibility 121
 - 7.4b Involving Others in Formulating Research Questions 122

- 7.5 Conceptualization 123
- 7.6 Conceptualization in Quantitative Inquiry 124
 - 7.6a Developing a Proper Hypothesis 125
 - 7.6b Mediating and Moderating Variables 125
 - 7.6c Controlling for the Effects of Variables 126
 - 7.6d Constants 127
 - 7.6e The Same Concept Can Be a Different Type of Variable in Different Studies 127
 - 7.6f Types of Relationships between Variables 128
 - 7.6g Operational Definitions 129
 - 7.6h The Influence of Operational Definitions 129
 - 7.6i Alternative Sources of Data for Operational Definitions in Social Work 130
 - 7.6j Existing Scales 131
 - 7.6k Levels of Measurement 134

7.7 Conceptualization in Qualitative Inquiry 135

- 7.8 Main Points 137**
- 7.9 Practice-Related Exercises 138**
- 7.10 Internet Exercises 138**
- 7.11 Additional Reading 138**
 - 7.11a Competency Notes 138

CHAPTER 8
Measurement in Quantitative and Qualitative Inquiry 141

- 8.1 Introduction 142
- 8.2 Sources of Measurement Error 142
 - 8.2a Errors in Alternate Sources of Data 143
- 8.3 Reliability 144
 - 8.3a Types of Reliability 144
- 8.4 Validity 146
 - 8.4a Types of Validity 146
- 8.5 Relationship between Reliability and Validity 152
- 8.6 Reliability and Validity in Qualitative Research 152
- 8.7 Main Points 155
- 8.8 Practice-Related Exercises 156
- 8.9 Internet Exercises 156
 - 8.9a Competency Notes 156

CHAPTER 9**Quantitative and Qualitative Measurement Instruments 157**

- 9.1 Introduction 158
- 9.2 Generic Guidelines for Asking Questions 158
 - 9.2a Questions and Statements 158
 - 9.2b Open-Ended and Closed-Ended Questions 158
 - 9.2c Make Items Clear 159
 - 9.2d Avoid Double-Barreled Questions 159
 - 9.2e Respondents Must Be Competent to Answer 159
 - 9.2f Respondents Must Be Willing to Answer 160
 - 9.2g Questions Should Be Relevant 160
 - 9.2h Short Items Are Best 160
 - 9.2i Avoid Negative Items 160
 - 9.2j Avoid Biased Items and Terms 160
 - 9.2k Questions Should Be Culturally Sensitive 161
- 9.3 Critically Appraising Quantitative Instruments 161
 - 9.3a Questionnaires 161
 - 9.3b Scales 166
- 9.4 Critically Appraising Qualitative Measures 167
- 9.5 Qualitative Interviewing 168
 - 9.5a Informal Conversational Interviews 168
 - 9.5b Interview Guide Approach 169
 - 9.5c Standardized Open-Ended Interviews 171
 - 9.5d Illustration of a Standardized Open-Ended Interview Schedule 171
- 9.6 A Comparison of Quantitative and Qualitative Approaches to Asking People Questions 171
- 9.7 Main Points 171
- 9.8 Practice-Related Exercises 175
- 9.9 Internet Exercises 175
 - 9.9a Competency Notes 176

PART 5**Sampling and Surveys 177****CHAPTER 10****Surveys 179**

- 10.1 Introduction 180
- 10.2 Mail Surveys 180
 - 10.2a Mail Distribution and Return 181
 - 10.2b Cover Letters 181

- 10.2c Follow-Up Mailings 182
- 10.2d Response Rates 182
- 10.2e Increasing Response Rates 184

- 10.3 Online Surveys 184
 - 10.3a Advantages and Disadvantages of Online Surveys 185
 - 10.3b Using SurveyMonkey™ 185
 - 10.3c Tips for Conducting Online Surveys 185
 - 10.3d Emerging Developments in Online Surveys 186
- 10.4 Interview Surveys 188
 - 10.4a The Role of the Survey Interviewer 188
 - 10.4b General Guidelines for Survey Interviewing 189
 - 10.4c Coordination and Control 191
- 10.5 Telephone Surveys 191
 - 10.5a Computer-Assisted Telephone Interviewing 193
- 10.6 Comparison of the Different Survey Methods 194
- 10.7 Strengths and Weaknesses of Survey Research 195
- 10.8 Combining Survey Research Methods and Qualitative Research Methods 197
- 10.9 Use of Surveys in Needs Assessment 197
 - 10.9a Key Informants 198
 - 10.9b Community Forum 198
 - 10.9c Rates under Treatment 199
 - 10.9d Social Indicators 199
 - 10.9e Surveys of Communities or Target Groups 199
- 10.10 Main Points 200
- 10.11 Practice-Related Exercises 201
- 10.12 Internet Exercises 202
 - 10.12a Competency Notes 202

CHAPTER 11**Sampling: Quantitative and Qualitative Approaches 203**

- 11.1 Introduction 204
- 11.2 Quantitative Sampling Methods 204
 - 11.2a President Alf Landon 205
 - 11.2b President Thomas E. Dewey 205
 - 11.2c President John Kerry 206
- 11.3 Probability Sampling 206
 - 11.3a Conscious and Unconscious Sampling Bias 207

11.3b	Representativeness and Probability of Selection	208	12.6	Quasi-Experimental Designs	243
11.3c	Random Selection	209	12.6a	Nonequivalent Comparison Groups Design	244
11.3d	The Logic of Probability Sampling	209	12.6b	Time Series Designs	245
11.3e	Populations and Sampling Frames	211	12.7	Additional Threats to the Validity of Experimental and Quasi-Experimental Findings	247
11.3f	Non-Response Bias	213	12.7a	Measurement Bias	247
11.3g	Review of Populations and Sampling Frames	214	12.7b	Research Reactivity	248
11.3h	Other Considerations in Determining Sample Size	214	12.7c	Diffusion or Imitation of Treatments	250
11.4	Types of Probability Sampling Designs	215	12.7d	Compensatory Equalization, Compensatory Rivalry, or Resentful Demoralization	250
11.4a	Simple Random Sampling	215	12.7e	Attrition	251
11.4b	Systematic Sampling	215	12.8	External Validity	252
11.4c	Stratified Sampling	216	12.9	Cross-Sectional Studies	253
11.4d	Multistage Cluster Sampling	219	12.10	Case-Control Design	254
11.4e	Probability Sampling in Review	220	12.11	Main Points	255
11.5	Nonprobability Sampling in Quantitative and Qualitative Research	220	12.12	Practice-Related Exercises	257
11.5a	Reliance on Available Subjects	220	12.13	Internet Exercises	257
11.5b	Purposive or Judgmental Sampling	222	12.13a	Competency Notes	258
11.5c	Quota Sampling	223	CHAPTER 13		
11.5d	Snowball Sampling	223	Single-Case Evaluation Designs.....	259	
11.6	Additional Qualitative Sampling Methods	224	13.1	Introduction	260
11.6a	Deviant Case Sampling	224	13.2	Single-Case Designs in Social Work	262
11.6b	Intensity Sampling	224	13.2a	Use of Single-Case Designs as Part of Social Work Practice	263
11.6c	Maximum Variation and Homogeneous Sampling	225	13.2b	Single-Case Design Methods in Evidence-Based Practice	263
11.6d	Theoretical Sampling	225	13.3	Measurement Issues	265
11.7	Main Points	225	13.3a	What to Measure	265
11.8	Practice-Related Exercises	226	13.3b	Triangulation	266
11.9	Internet Exercises	227	13.4	Data Gathering	266
11.9a	Competency Notes	227	13.4a	Who Should Measure?	266
PART 6			13.4b	Sources of Data	266
Designs for Evaluating Programs and Practice	229		13.4c	Reliability and Validity	267
CHAPTER 12			13.4d	Direct Behavioral Observation	267
Experiments and Quasi-Experiments	231		13.4e	Unobtrusive Versus Obtrusive Observation	268
12.1	Introduction	232	13.4f	Data Quantification Procedures	269
12.2	Criteria for Inferring Causality	232	13.4g	The Baseline Phase	269
12.3	Internal Validity	233	13.5	Alternative Single-Case Designs	272
12.3a	Threats to Internal Validity	233	13.5a	AB: The Basic Single-Case Design	272
12.4	Preexperimental Designs	235	13.5b	ABAB: Withdrawal/Reversal Design	272
12.4a	Pilot Studies	237	13.5c	Multiple-Baseline Designs	274
12.5	Experimental Designs	237	13.5d	Multiple-Component Designs	277
12.5a	Randomization	241	13.5e	B or B+ Designs in Evidence-Based Practice	278
12.5b	Providing Services to Control Groups	243			

13.6 Data Analysis 280

13.7 The Role of Qualitative Research Methods in Single-Case Evaluation 280

13.8 Main Points 281

13.9 Practice-Related Exercises 282

13.10 Internet Exercises 282

13.11 Additional Readings 282

 13.11a Competency Notes 282

CHAPTER 14

Program Evaluation..... 283

14.1 Introduction 284

14.2 Historical Overview 284

 14.2a Accountability 284

 14.2b Managed Care 285

 14.2c Evidence-Based Practice 285

14.3 Purposes and Types of Program Evaluation 286

 14.3a Summative and Formative Evaluations 286

 14.3b Evaluating Outcome and Efficiency 287

 14.3c Monitoring Program Implementation 287

 14.3d Process Evaluation 288

 14.3e Evaluation for Program Planning: Needs Assessment 288

14.4 Planning an Evaluation 290

 14.4a Fostering Cooperation and Utilization 290

 14.4b The Evaluation Report 290

 14.4c Logic Models 291

14.5 The Politics of Program Evaluation 292

 14.5a In-House Versus External Evaluators 293

14.6 Practical Pitfalls in Carrying Out Experiments and Quasi-Experiments in Social Work Agencies 294

 14.6a Fidelity of the Intervention 294

 14.6b Contamination of the Control Condition 294

 14.6c Resistance to the Case Assignment Protocol 295

 14.6d Client Recruitment and Retention 295

14.7 Mechanisms for Avoiding or Alleviating Practical Pitfalls 296

 14.7a Pilot Studies 296

 14.7b Qualitative Techniques 296

14.8 Combining Quantitative and Qualitative Methods in Program Evaluation 297

14.9 Main Points 297

14.10 Practice-Related Exercises 300

14.11 Internet Exercise 300

14.12 Additional Reading 300

 14.12a Competency Notes 300

PART 7

Additional Qualitative and Quantitative Research Methods 301

CHAPTER 15

Additional Methods in Qualitative Inquiry 303

15.1 Introduction 304

15.2 Phenomenology 304

15.3 Ethnography 304

 15.3a Two Ethnographic Studies of Homelessness 305

15.4 Case Studies 306

15.5 Life History 306

15.6 Feminist Methods 307

15.7 Focus Groups 307

15.8 Participatory Action Research 309

 15.8a An Illustration of a Participatory Action Research Study Using Focus Groups and Feminist Methods: The Voices of Battered Women in Japan 310

15.9 Grounded Theory 311

15.10 Special Considerations in Qualitative Observation 313

 15.10a The Various Roles of the Observer 313

 15.10b Emic and Etic Perspectives 314

15.11 Conducting Qualitative Research 314

 15.11a Recording Observations 315

15.12 Comparing the Strengths and Weaknesses of Qualitative and Quantitative Research 317

 15.12a Depth of Understanding 317

 15.12b Flexibility 317

 15.12c Subjectivity 317

 15.12d Generalizability 318

15.13 Standards for Evaluating Qualitative Studies 318

 15.13a Contemporary Positivist Standards 319

 15.13b Social Constructivist Standards 321

 15.13c Empowerment Standards 321

15.14 Main Points 322

15.15 Practice-Related Exercises 323

15.16 Internet Exercises 323

15.17 Additional Readings	324
15.17a Competency Notes	324
CHAPTER 16	
Analyzing Available Records: Quantitative and Qualitative Methods	325
16.1 Introduction	326
16.2 Secondary Analysis	326
16.2a Advantages of Secondary Analysis	327
16.2b Limitations of Secondary Analysis	329
16.3 Content Analysis	331
16.3a Sampling in Content Analysis	333
16.3b Coding in Content Analysis	334
16.3c Manifest and Latent Content	334
16.3d Qualitative Content Analysis	335
16.3e An Illustration of a Qualitative Content Analysis in Social Work Research	335
16.3f Strengths and Weaknesses of Content Analysis	336
16.4 Historical Analysis	337
16.4a Sources of Historical Data	337
16.4b Analytic Techniques	339
16.5 Main Points	340
16.6 Practice-Related Exercises	341
16.7 Internet Exercises	341
16.8 Additional Readings	342
16.8a Competency Notes	342
PART 8	
Data Analysis	343
CHAPTER 17	
Quantitative Data Analysis	345
17.1 Introduction	346
17.2 Coding	346
17.3 Descriptive Univariate Analysis	346
17.3a Frequency Distributions	346
17.3b Central Tendency	347
17.3c Dispersion	347
17.3d Levels of Measurement	349
17.4 Relationships Among Variables	350
17.4a Interpreting Bivariate Tables	350
17.4b Interpreting Multivariate Tables	351
17.4c Interpreting Measures of Association	352
17.5 Effect Size	353
17.5a Odds Ratios and Risk Ratios	354
17.5b Strong, Medium, and Weak Effect Sizes	355
17.6 Substantive Significance	356
17.7 Inferential Analysis	357
17.7a Refuting Chance	358
17.8 Main Points	359
17.9 Practice-Related Exercises	360
17.10 Internet Exercises	360
17.11 Additional Reading	360
17.11a Competency Notes	360
CHAPTER 18	
Qualitative Data Analysis	361
18.1 Introduction	362
18.2 Coding	362
18.2a Coding as a Physical Act	362
18.2b Creating Codes	363
18.2c Memoing	365
18.3 Discovering Patterns	366
18.3a Grounded Theory Method	367
18.3b Semiotics	367
18.3c Conversation Analysis	368
18.3d Concept Mapping	369
18.4 Computer Programs for Qualitative Data	369
18.5 In Closing	370
18.6 Main Points	370
18.7 Practice-Related Exercises	371
18.8 Internet Exercises	371
18.9 Additional Readings	372
18.9a Competency Notes	372
Appendix A Using the Library.....	373
Electronically Accessing Library Materials	374
Electronically Accessing Internet Professional Databases	374
Professional Journals	377
Appendix B Writing Research Proposals.....	381
Before You Start Writing the Proposal	381
Research Proposal Components	381
Cover Materials	382

Problem and Objectives	382
Writing the Literature Review	382
Conceptual Framework	383
Measurement	384
Study Participants (Sampling)	384
Design and Data-Collection Methods	384
Data Analysis	385
Schedule	385
Budget	385
Additional Components	385
Appendix C Writing Social Work Research Reports	387
Some Basic Considerations	387
Audience	387
Form and Length of the Report	388
Aim of the Report	388
Avoiding Plagiarism	388
Organization of the Report	389
Title	389
Abstract	389
Introduction and Literature Review	390
Methods	390
Results	390
Discussion and Conclusions	391
References and Appendices	391
Additional Considerations When Writing Qualitative Reports	391
Additional Readings	392
Appendix D Random Numbers	393
Appendix E Using Effect Sizes to Bridge the Gap Between Research and Practice	396
The Research Practice Gap	396
Using Within-Group Effect Sizes to Reduce the Gap	396
Advancing Evidence-Based Practice	397
Conclusion	398
Glossary	399
Bibliography	413
Index	419

Preface

More than 25 years ago we wrote the text *Research Methods for Social Work*, which is now in its eighth edition. Although that text was an immediate success and continues to be widely adopted, some colleagues who really like that text suggested that we create a less advanced version. At the same time, these colleagues expressed dissatisfaction with the existing less advanced texts, which they characterized as too sketchy and simplistic. What they wanted was a sort of middle-ground text—one that is less advanced than our other text but that still provides *essential* research methods content in sufficient depth and breadth, with social work illustrations and applications throughout, and with a constant focus on the utility of social work research in social work practice.

We wrote the first three editions of this text, *Essential Research Methods for Social Work*, to meet that need. Those editions retained most of the content of the more advanced version, but presented it in a simplified fashion and organized into fewer and shorter chapters. The success of those editions suggests that we met the needs of instructors who deemed the original content to be too advanced for students at the BSW level (and perhaps some at the MSW level).

Although we presented the material in a more simplified fashion, we attempted to maintain the strengths of the more advanced text. For example, we integrated quantitative and qualitative methods and showed how using both can enhance a research study. We attempted to balance the attention we give to both types of inquiry and to their respective advantages and limitations. At times we discussed the strengths and weaknesses of quantitative and qualitative methods in general. At other times we discussed the strengths and weaknesses of specific types of quantitative or qualitative methods. We attempted to do this without implying that either of these two complementary approaches to

inquiry has more strengths or weaknesses than the other.

Despite the success of the previous editions of this text, we appreciated the excellent suggestions made to improve them by colleagues who used or reviewed them. This fourth edition contains most of their suggested improvements as well as some that we envisioned ourselves.

EPAS CORE COMPETENCIES

In this edition we continue to show how its contents pertain to the core competencies delineated in the Council on Social Work Education's (CSWE) Educational Policy and Accreditation Standards (EPAS). Each chapter has icons indicating which of the core competencies and recommended practice behaviors apply to the material in that chapter. Some of the icons match a particular chapter section with its corresponding core competency or practice behavior. Others indicate that the entire chapter pertains to several competencies and practice behaviors.

At the end of each chapter, we added competency notes to elaborate upon the core competencies and practice behaviors addressed in the chapter. For example, Chapter 2, on Evidence-Based Practice, contains icons pertaining to seven of the ten EPAS core competencies. One of those seven competency icons in Chapter 2 pertains to engaging in career-long learning. In the competency notes at the end of that chapter, we explain how content in that chapter pertains to each core competency icon. For the icon pertaining to engaging in career-long learning, we explain that evidence-based practitioners recognize that practice-related knowledge can change as newer and better research emerges, and that therefore they must engage in career-long learning to stay abreast of those changes and incorporate them into their practice.

MIXED METHODS

One of the significant additions to our previous edition was its increased attention to mixed methods research. We have expanded that coverage in Chapter 3 of this edition in several ways. We have added a case example box summarizing a published mixed methods study regarding engaging child welfare clients in working relationships. We added a major section describing Creswell's (2014) three basic mixed methods designs. Each of the three designs is described in subsections that include examples of each. Another new section summarizes Creswell's three advanced mixed methods designs.

SIGNIFICANT ADDITIONS AND MODIFICATIONS IN OTHER CHAPTERS

The philosophical section in Chapter 1 on objectivity and paradigms has been removed from that chapter in keeping with suggestions that it is too advanced to appear so soon in the book. Content on that topic already appeared in Chapter 4, so we expanded it somewhat in that chapter. We added a box providing a case example of a social worker exposing the pseudoscientific aspects of thought field therapy and how they weaken critical thinking. We also added a brief discussion of Karl Popper's principle of falsifiability in science.

In the section of Chapter 2 on formulating an evidence-based practice (EBP) question we added a box that illustrates examples of EBP questions about effectiveness, predictors of desirable and undesirable consequences, understanding client experiences, and assessment tools. We also expanded our coverage of systematic reviews and meta-analyses to make searching for evidence more feasible. In another box we expanded our list of Internet sites for reviews and practice guidelines.

In Chapter 4, in addition to the increased coverage regarding objectivity and paradigms we significantly expanded our coverage of longitudinal studies, including more attention to panel attrition as well as a new figure that compares cross-sectional studies to the three types of longitudinal studies.

In response to requests from reviewers, we have moved the section on ethical and cultural issues in social work research up from Part 7 to Part 2. Those areas are now covered in Chapters 5 and 6.

This edition has one fewer chapter because we merged the chapter on reviewing the literature and developing research questions with the chapter on conceptualization. The merged chapter (now Chapter 7) is titled *Problem Formulation*, as it combines those aspects of problem formulation that were previously covered in two separate chapters. The merger involved moving the section on using the library to a new appendix on that topic. The section on writing the literature review overlapped with coverage of that in the appendixes on writing research proposals and reports, so we moved that coverage to those appendixes.

In Chapter 8 on measurement (previously Chapter 7) we added a box near the end of the chapter to further illustrate the difference between reliability and validity. In Chapter 10 on surveys (previously Chapter 9) we added a new section on emerging developments in online surveys to address various technological advances such as the use of tablets and smartphones. We also referred readers to sources for keeping abreast of these developments. Also in this chapter is expanded attention to issues regarding cell phones in telephone surveys.

In Chapter 12 (previously Chapter 11) we elaborated the section on attrition in experiments and quasi-experiments by discussing the use of intent-to-treat analysis. We also added a box clarifying the difference between random assignment in experiments versus random selection in surveys.

Significant revisions were made in Chapter 14 on program evaluation (previously Chapter 13). The chapter has been reorganized so that coverage of the purposes and types of program evaluation and how to plan one follow immediately after the historical overview section. We think that this will give readers a better grasp of the basics of program evaluation before getting into issues regarding its politics and practical pitfalls. The historical overview section is expanded to include more content on accountability and the importance of demonstrating that programs are effective and not harmful, and the connection of accountability to our professional ethics. Also added to the historical

coverage is a section on evidence-based practice and the utility of meta-analyses and effect-size statistics. A section on the utility of preexperimental designs in program evaluation that appears later in the chapter returns to those two concepts to show how they can enhance the value of preexperimental designs. That later section also discusses why preexperimental designs are commonly used in program evaluation and why their limitations are less problematic in a program evaluation context. Another significant addition is a section on logic models.

In the quantitative data analysis chapter we expanded the coverage of effect sizes to include odds ratios and risk ratios along with *Cohen's d*. We also added a figure to illustrate how distributions with different degrees of dispersion can have the same central tendency and how reports of descriptive findings that rely exclusively on central tendency can be incomplete and possibly misleading. Also regarding effect sizes, a new Appendix (E) has been added that discusses a novel approach being advanced by one of us (Rubin) for calculating within group effect sizes which might enhance the value of preexperimental designs in program evaluation and reduce the gap between research and practice in a way that aims to advance evidence-based practice to a new level.

We hope you'll find that the above additions and modifications have improved the usefulness of this book. We would like to know what you think of this edition and to receive any suggestions you might have for improving it. Please e-mail us at arubin@mail.utexas.edu.

ANCILLARY PACKAGE

Book Companion Website

For students, the Book Companion Website at www.cengagebrain.com offers practice quizzes and web links.

Instructor's Manual

Also, as with our other text, an *Instructor's Manual* mirrors the organization of this text, offering our recommended teaching methods. Each chapter of the online manual provides an outline of relevant discussion, behavioral objectives, teaching suggestions and resources, and test items. This *Instructor's Manual* is set up to allow instructors the freedom and flexibility needed to teach research methods courses.

The test questions for each chapter include multiple-choice and true-false items and several essay questions that may be used for exams or to stimulate class discussion. Page references to the text are given for the multiple-choice and true-false questions.

ACKNOWLEDGMENTS

We owe special thanks to the following colleagues who reviewed an earlier draft of this text and made valuable suggestions for improving it: Mary Beth Hyatt, Limestone College; Chris Lloyd, University of Arkansas at Little Rock; Paul Lanier, UNC Chapel Hill; Jeannine Rowe, University of Wisconsin-Whitewater; Yong Li, SUNY Plattsburgh; Stephanie Warren, Limestone College; Min Zhan, University of Illinois at Urbana-Champaign.

Thanks also to the following staff members at Cengage Learning for their help in improving this new edition: Gordon Lee, Product Manager; Stephen Lagos, Product Assistant; Ruth Sakata Corley, Production Manager; Deanna Ettinger, IP Analyst; Kristina Mose-Libon, Art Director; Brenda Ginty, Managing Editor, Production; John Chell, Media Editor; and Judy Inoue, Manufacturing Planner

Allen Rubin
Earl Babbie

An Introduction to Scientific Inquiry in Social Work

part 1

Part 1 of this text lays the groundwork for the rest of the book by examining the value and fundamental characteristics of scientific inquiry in social work. In Chapter 1 we will begin by discussing the relevance of research to social work practice. We will also explore the use of the scientific method as a basis for how social workers come to know things, and how it helps safeguard against some of the risks inherent in unscientific sources of practice knowledge. Chapter 2 will extend the ideas discussed in Chapter 1 by delving into the evidence-based practice process, which is the primary way that research can be used by social work practitioners.

CHAPTERS IN THIS SECTION:

- 1
Why Study Research?

- 2
Evidence-Based Practice

chapter 1

Why Study Research?

- 1.1 Introduction**
- 1.2 How Do Social Workers Know Things?**
- 1.3 The Scientific Method**
- 1.4 Other Ways of Knowing**
 - 1.4a Personal Experience
 - 1.4b Tradition
 - 1.4c Authority
 - 1.4d Common Sense
 - 1.4e Popular Media
- 1.5 Recognizing Flaws in Unscientific Sources of Social Work Practice Knowledge**
 - 1.5a Inaccurate Observation
 - 1.5b Overgeneralization
 - 1.5c Selective Observation
 - 1.5d Ex Post Facto Hypothesizing
 - 1.5e Ego Involvement in Understanding
 - 1.5f Other Forms of Illogical Reasoning
 - 1.5g The Premature Closure of Inquiry
 - 1.5h Pseudoscience
- 1.6 Main Points**
- 1.7 Practice-Related Exercises**
- 1.8 Internet Exercises**
- 1.9 Additional Readings**

1.1 INTRODUCTION

You may be wondering why social work students are required to take a research course. Part of the answer is that social work research aims to provide the practical knowledge that social workers need to solve everyday practice problems.

You are likely to encounter numerous situations in your career in which you'll use your research expertise and perhaps wish you had more of it. For example, you may administer a substance abuse program whose continued funding requires you to conduct a scientific evaluation of its effectiveness in preventing or alleviating substance abuse. You may provide direct services and want to evaluate scientifically your own effectiveness or the effects certain interventions are having on your clients. You may be involved in community organizing or planning and want to conduct a scientific survey to assess a community's greatest needs. You may be engaged in social reform efforts and need scientific data to expose the harmful effects of current welfare policies and thus persuade legislators to enact more humanitarian welfare legislation.

Even if you never do any research, you'll need to understand it and use it to guide your practice. That's because our profession remains quite uncertain about what really works in many practice situations. Some agencies provide interventions that research has found to be ineffective. Someday you may even work in such an agency and may be expected to provide such interventions yourself. By understanding research and then reading studies that provide new evidence on what is and is not effective, you can increase your own practice effectiveness. By doing so, you will have taken a major step toward establishing an *evidence-based practice*.

The evidence-based practice process (which we will examine in depth in Chapter 2) involves using the best scientific evidence available in deciding how to intervene with individuals, families, groups, or communities. Despite recent advances in identifying evidence-based interventions, social workers today continue to use some interventions and procedures that have not yet received adequate testing. In fact, new interventions continually emerge and are promoted without adequate scientific evidence as to their effectiveness. Some will have received no scientific testing whatsoever. Others will have been

“tested” in a scientifically unsound manner in which the research design or measurement procedures were biased to produce desired results. Some will have been tested with certain ethnic groups but not with others. Professional social workers are often bombarded with fliers promoting expensive continuing education training workshops for new interventions. These interventions, of course, are touted as being effective, but such claims may not be warranted. In the face of this reality, understanding scientific inquiry and research methods becomes practice knowledge, too. Learning how to critically appraise whether adequate scientific evidence supports particular interventions in certain practice situations becomes at least as important as learning how to apply interventions in general.

Why can't we just let the researchers produce the needed studies and then tell practitioners the results? First of all, there is a vast range in the quality of the social work research produced and published. Some of it is excellent, and some of it probably should never have been published. It is not hard to find studies that violate some of the fundamental principles that you will learn in this book. If social work practitioners are going to rely on the findings of social work research studies for guidance, then they must understand social work research methods well enough to distinguish strong studies from weak ones. Moreover, the quality of social work research ultimately depends not just on the researchers' methodological expertise but also on their practice knowledge and the practitioners' research knowledge. Without a partnership between practice-oriented researchers and methodologically informed practitioners, there is not likely to be a climate of support in agencies for the type of research our field desperately needs—research that is responsive to the real needs of agency practitioners under conditions that permit an adequate level of methodological rigor. Even if you never produce any research, an understanding of research methods will help you critically appraise and use research produced by others, communicate with researchers to help ensure that their work is responsive to the needs of practice, and ultimately help foster an agency environment conducive to carrying out cogent, relevant studies.

Being professional involves striving to make sure we provide our clients with the most effective

services available. How do we do that? Do we just ask our supervisors what they think is best? Such a tactic may be a starting point, but practitioners who conform only to ongoing practices without keeping abreast of the latest research in their fields are not doing everything they can to provide clients with the best possible service.

Given how frequently social work services have been found to be ineffective, and the recent emergence of studies identifying new and apparently effective interventions, failure to keep abreast of the research in the field is a serious shortcoming. We cannot justify disregarding research with the rationalization that we are too busy helping people. If our services have not been tested for their effects on clients, then chances are we are not really helping anyone. In that case, who benefits from our blind faith in conventional but untested practice wisdom? Not our clients. Not those who pay for our services. Not society. Do we? In one sense, perhaps. It is less work for us if we unquestioningly perpetuate ongoing practices. That way, we do not make waves. We do not have to think as much. There is one less task—reading research reports—in our daily grind. In the long run, however, practitioners who keep up on the research and know they are doing all they can to provide the best possible services to their clients might experience more job satisfaction and be less vulnerable to burnout.

The main reason to use research, however, is compassion for our clients. We care about helping them; thus we seek scientific evidence about the effects of the services we are providing and of alternative services that might help them more. If the services we provide are not effective and others are, then we are harming our clients by perpetuating our current services. We are wasting their time (and perhaps money) by allowing their problems to go on without the best possible treatment. Because we are inattentive to the literature, we deny our clients a service opportunity that might better help them.

Thus, understanding research methods and using research discriminately have much to do with basic social work values such as caring and compassion. The practitioner who understands and uses research shows more concern for the welfare of his or her clients, and ultimately is more helpful to them, than the one who does not take that trouble, perhaps misguided by erroneous assumptions about research.

However, studies on the effects of social work interventions are just one prominent example of useful social work research. A long list of other examples of completed research studies would also convey the value of research to social work, and why students preparing to become practitioners should know research methods so they can use and contribute to such research. Many of these studies will be cited as illustrations of the methodological concepts addressed throughout this text.

We also could cite countless examples of additional topics on which you might someday want to see research findings. Only a few will be cited here. For example, why do so many of your agency's clients terminate treatment prematurely? What types of clients stay with or drop out of treatment? What reasons do they give? What services did they receive? How satisfied were they with those services? In what part of your target community or region should you locate your outreach efforts? Where are you most likely to engage hard-to-reach individuals such as the homeless or recent immigrants? What proportion of your target population does not understand English? Why are so few ethnic minorities being served by your agency? What does your agency mean to them? What is the agency atmosphere like from their viewpoint? We could go on and on, but you get the idea: The possibilities are endless.

Ethics is one of the most important concerns of social workers as they consider research and appears as a recurring topic of discussion throughout this book. The Code of Ethics of the National Association of Social Workers specifically requires social workers to keep current with and critically appraise practice-related research in the professional literature, and to include evidence-based knowledge as part of the knowledge base for their practice. When we use research discriminatingly, we uphold and advance the values and mission of the profession, and thus are more ethical in our practice. Still, social work students quite commonly approach research methodology with skepticism about the ethics of many research studies. We will address those ethical concerns in various chapters of the book, not just in the chapter devoted to ethics. We hope that by the time you finish reading this book, you will have a better understanding not only of the ethical dilemmas involved in social work research, but also of the



EP 2.1.2b

reasons why our professional code of ethics comes to bear on our responsibility to understand, use, and contribute to research.

Perhaps more than ever before, social work research offers all social workers an opportunity to make a difference in the problems they confront. Whether you become a direct service practitioner seeking to maximize the effectiveness of your services, or a social activist seeking to promote more humane social welfare legislation (or perhaps both), the success of your efforts to help people will likely be enhanced by your use of scientific inquiry and research. In the hope that this introduction has whetted your appetite for what you are about to learn in this book, let's now examine the various ways social workers seek to know things.

1.2 HOW DO SOCIAL WORKERS KNOW THINGS?

Social work students study various theories about human behavior and alternative perspectives on social welfare policies and social work intervention. Sometimes these theories and perspectives seem compatible. Sometimes they do not. How will you decide which of them should guide your future practice? Will you base your decision on which author or professor is most esteemed? Will you just take your field supervisor's word for things, or accept without question long-standing agency traditions? To what extent will you rely on your own direct social work experience as the basis of your practice wisdom? This book aims to help you develop a scientific approach for answering questions like these now and throughout your career as a social worker.

Let's begin by examining a few things you probably know already. You know that the world is round and that people speak Japanese in Japan. You probably also know it's cold on the planet Mars. How do you know? Unless you've been to Mars lately, you know it's cold there because somebody told you, and you believed what you were told. Perhaps your physics or astronomy instructor told you it was cold on Mars, or maybe you read it in *Newsweek*. You may have read in *National Geographic* that people speak Japanese in Japan, and that made sense to you, so you didn't question it.

Some of the things you know seem absolutely obvious to you. If someone asked how you know

the world is round, you'd probably say, "Everybody knows that." There are a lot of things everybody knows. Of course, at one time, everyone "knew" the world was flat.

Most of what we know is a matter of agreement and belief. But we also can know things through direct experience and observation. If you sleep outside like a homeless person on a cold winter night, you won't need anyone to tell you it's cold—you notice that all by yourself. When your experience conflicts with what everyone else knows, though, there's a good chance you will surrender your experience in favor of the agreement.

Let's take an example to illustrate this: Imagine you're at a party. It's a high-class affair, and the drinks and food are excellent. You are particularly taken by one type of appetizer the host brings around on a tray. It's breaded, deep-fried, and especially tasty. You have a couple, and they are delicious! You have more. Soon you are subtly moving around the room to be wherever the host arrives with a tray of these nibbles. Finally, you can't contain yourself anymore. "What are they?" you ask. "How can I get the recipe?" The host lets you in on the secret: "You've been eating breaded, deep-fried worms!" Your response is dramatic: Your stomach rebels, and you promptly throw up all over the living room rug. Awful! What a terrible thing to serve guests!

The point of the story is that both feelings about the appetizer would be real. Your initial liking for them, based on your own direct experience, was certainly real, but so was the feeling of disgust you had when you found out that you had been eating worms. It should be evident, however, that the feeling of disgust was strictly a product of the agreements you have with those around you that worms aren't fit to eat. That's an agreement you entered into the first time your parents found you sitting in a pile of dirt with half a wriggling worm dangling from your lips. When they pried your mouth open and reached down your throat to find the other half, you learned that worms are not acceptable food in our society.

Aside from the agreements we have, what's wrong with worms? They're probably high in protein and low in calories. Bite-sized and easily packaged, they're a distributor's dream. They are also a delicacy for some people who live in societies that lack our agreement that worms are disgusting.



We learn some things by experience, others by agreement. This young man seems to be into personal experience.
SOURCE: Allen Rubin

Other people might love the worms but be turned off by the deep-fried breadcrumb crust.

Reality, then, is a tricky business. You probably already suspect that some of the things you “know” may not be true, but how can you really know what’s real? People have grappled with that question for thousands of years. Science is one of the strategies that has arisen from that grappling.

1.3 THE SCIENTIFIC METHOD

Science offers an approach to both agreement reality and experiential reality. That approach is called the **scientific method**. One key feature of the scientific method is that *everything is open to question*. That means that in our quest to understand things, we should strive to keep an open mind about everything we think we know or that we want to believe. In other words, we should consider the things we call “knowledge” to be *tentative and subject to refutation*. This feature has no exceptions. No matter

how long a particular tradition has been practiced, no matter how much power or esteem a particular authority figure may have, no matter how noble a cause may be, we can question any belief—no matter how cherished it may be.

Another key feature of the scientific method is the search for *evidence based on observation* as the basis for knowledge. The term *empirical* refers to this valuing of observation-based evidence. As we will see later, one can be empirical in different ways, depending on the nature of the evidence and the way we search for and observe it. For now, remember that the scientific method seeks truth through

scientific method An approach to inquiry that attempts to safeguard against errors commonly made in casual human inquiry. Chief features include viewing all knowledge as provisional and subject to refutation, searching for evidence based on systematic and comprehensive observation, pursuing objectivity in observation, and replicating studies.

Key Features of the Scientific Method

A mnemonic for remembering some of the key features of the scientific method is the word *trout*. Think of catching or eating a delicious trout,* and it will help you remember the following key features:

T	Tentative:	Everything we think we know today is open to question and subject to reassessment, modification, or refutation.
R	Replication:	Even the best studies are open to question and need to be replicated.
O	Observation:	Knowledge is grounded in orderly and comprehensive observations.
U	Unbiased:	Observations should be unbiased.
T	Transparent:	All procedural details are openly specified for review and evaluation and to show the basis of conclusions that were reached.

*If you are a vegetarian, you might want to just picture how beautiful these fish are and imagine how many of their lives you are saving.

observed evidence—not through authority, tradition, or dogma—no matter how much social pressure or political correctness may be connected to particular beliefs, and no matter how many people embrace those beliefs or how long they have been proclaimed to be true. It took courage long ago to question fiercely held beliefs that the earth is flat. Scientifically minded social workers today should find the same courage to ask whether adequate evidence supports interventions or policies that they have been told or taught to believe in.

They should also examine the nature of that evidence. To be truly scientific, the observations that have accumulated that evidence should have been *orderly* and *comprehensive*. The *sample* of observations should have been *large* and *diverse*. The observational *procedures should be specified* so that we can see the *basis for the conclusions* that were reached, and be able to judge whether the conclusions are indeed warranted in light of the evidence and the ways in which it was observed.

The specified procedures should also be scrutinized for potential bias. The scientific method recognizes that we all have biases that can distort how we look for or perceive evidence. It therefore emphasizes the *pursuit of objectivity* in the way we seek and observe evidence. None of us may ever be purely objective, no matter how strongly committed we are to the scientific method. No matter how scientifically pure their research may be, researchers want to discover something important—that is, to have findings that will make a significant contribution to improving human well-being or (less nobly) enhancing their professional stature. The scientific method does not require that researchers deceive

themselves into thinking they lack these biases. Instead, recognizing that they may have these biases, they must find ways to gather observations that are not influenced by their biases.

Suppose, for example, you devise a new intervention to prevent child abuse. Naturally, you will be biased in wanting your intervention to be effective. It's okay to have that bias and still scientifically inquire whether your intervention really does prevent child abuse. You would not want to base your inquiry solely on your own subjective clinical impressions. That approach would engender a great deal of skepticism about the objectivity of your judgments with regard to the intervention's effects. Thus, instead of relying exclusively on your clinical impressions, you would devise an observation procedure that was not influenced by your own biases. Perhaps you would see if the parents receiving your intervention had fewer child abuse incidents reported to the child welfare department than parents who received a different intervention. Or perhaps you would administer an existing paper-and-pencil test that social scientists regard as a valid measure of parental child-raising knowledge and attitudes. Although neither alternative can guarantee complete objectivity, each would be more scientific than your subjective judgments, reflecting your effort to pursue objectivity.

Because there are no foolproof ways for social science to guarantee that evidence is purely objective, accurate, and generalizable, the scientific method also calls for the *replication* of studies. This is in keeping with the notion that all knowledge is tentative and refutable.



EP 2.1.6b

Replication means duplicating a study to see if the same evidence and conclusions are produced. It also refers to modified replications in which the procedures are changed in certain ways that improve on previous studies, or determine if findings hold up with different target populations or under different circumstances. The need to replicate implies that scientifically minded social workers should have the courage to question not only cherished beliefs that were not derived from scientific evidence but also the conclusions of scientific studies and the way those studies were carried out. The box “Key Features of the Scientific Method” summarizes these features and provides a handy mnemonic for remembering them.

1.4 OTHER WAYS OF KNOWING

The scientific method is not the only way to learn about the world. We also can learn from personal experience, tradition, authority, common sense, and the popular media. Let’s now examine each of these ways of acquiring knowledge and compare them to the scientific method. As you will see, some of the things people believe from these alternative sources of learning may not be true. When thinking critically and with a scientific orientation, people would want to consider observations that might contradict or disprove some of the things they “learn” from these other ways of “knowing.” Some conclusions people reach from these other sources may well be true, but no matter how much they cherish a belief, unless it is possible to state observations that would contradict or disprove, it no conclusion can be considered to be “scientific.” Karl Popper (1934) described this as the principle of *falsifiability* in science. This is what distinguishes scientific conclusions from religious, political, or philosophical *beliefs*.

1.4a Personal Experience

As mentioned earlier, we all discover things through our personal experiences from birth on, and from the agreed-on knowledge that others give us. Sometimes this knowledge can profoundly influence our lives. We learn that getting an education will affect how much money we earn later in life and that studying hard will result in better examination grades. The term *practice wisdom*, also as noted

earlier, refers to social workers learning things about social work practice via their personal practice experience. Despite the value of such experience, it is important to recognize its limitations and the ways in which the scientific method can augment it and safeguard against some common errors. Sometimes information that we believe to be knowledge acquired through our practice experience actually comes from observations that are casual and unsystematic or influenced by our predictions. We will examine these errors more closely later in this chapter. For now, you should remember that the scientific method safeguards against these errors through observations that are systematic, comprehensive, and unbiased.

1.4b Tradition

One important secondhand way to attempt to learn things is through tradition. Each of us inherits a culture made up in part of firmly accepted knowledge about the workings of the world. We may learn from others that planting corn in the spring will gain the greatest assistance from the gods, that sugar from too much candy will cause tooth decay, or that the circumference of a circle is approximately twenty-two sevenths of its diameter. We may test a few of these “truths” on our own, but we simply accept the great majority of them. These are the things that “everybody knows.”

Tradition, in this sense of the term, has some clear advantages for human inquiry. By accepting what everybody knows, you are spared the overwhelming task of starting from scratch in your search for regularities and understanding. At the same time, tradition may be detrimental to human inquiry. If you seek a fresh and different understanding of something that everybody already understands and has always understood, you may be seen as a fool. More to the point, it will probably never occur to you to seek a different understanding of something that is already understood and obvious.

When you enter your first job as a professional social worker, you may learn about your agency’s preferred intervention approaches. Chances are you will feel good about receiving instructions about “how we do things in this agency.” You may be anxious about beginning to work with real cases and relieved that you won’t have to choose between competing theories to guide what you do with